Operational Planning Application

THE JOINT OPERATION PLANNING PROCESS

Step 1: Initiation
Step 2: Mission Analysis
Step 3: Course of Action (COA) Development
Step 4: COA Analysis and Wargaming
Step 5: COA Comparison
Step 6: COA Approval
Step 7: Plan or Order Development
Operational Planning Application

“Caucus Region”
Operational Planning Application

EUCOM + 18th AIRBORNE CORPS JPG

CJTF “Caspian Guard”
We are working for 18th Airborne Corps which has been placed subordinate to the United States European Command (USEUCOM) headquartered in Stuttgart, Germany. 18th Airborne Corps has been designated as the JTF HQ for this Campaign.

It is February, 2020.
Key References

- Scenario Reference Book – 1
- Scenario Reference Book – 2
- All C-500 Class/Lesson References
- C507 - Instructor (Indonesia) Lesson Materials
- JP 3-0, “Joint Operations”
- JP 5-0, “Joint Planning”
- UJTL Extract
- USEUCOM CONPLAN 4567-018
- CJCS WARNING ORDER
Operational Planning Application

Agenda

- Introduction
- Joint Intel Prep of the Operating Env.
- Scenario Update/Overview (Update to 2020)
- CJCS WARNORD
- Functional Staff Estimates
- Task Org. JPG into Working Groups
- Begin Mission Analysis
- Review Mission Analysis Results
Joint Operation Planning Process

Functional Estimates

11 August 2011
# Functional Planning Team Estimate

<table>
<thead>
<tr>
<th>Forces/Assets Available</th>
<th>Facts</th>
<th>Assumptions</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Specified Tasks</th>
<th>Implied Tasks</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- **Limitations**
  - Constraints:
  - Restraints:

- Critical Issues for the Cdr

- Additional Capabilities Required
Caucasus Regional Analysis

Update to 2020
Operational Planning Application

"The Road to War"
Key Events in Theater
Key Events in Theater

January, 2020:

✓ An explosion damages the B-T-C Pipeline in Azerbaijan. Pipeline is closed for 2 months for repairs. Azerbaijan and Turkey allege Armenian covert involvement (in retaliation for the Metsamor incident), and Turkey threatens unilateral action against Armenia. This energizes the UN Security Council to take action in Nagorno-Karabakh (N-K).

✓ Signatories to the Montreux Convention agree to waive warship restrictions in the Black Sea for a term of 10 years. The purpose of the new agreement is to facilitate the mutual protection of their economic interests (primarily the export of natural gas and oil). The Black Sea Cooperation Fleet is established.

✓ US and Turkish ships conduct a joint amphibious exercise off the coast of the Black Sea.

✓ Azeri Fundamentalists (believed to be SAPA) attack US, UK and Canadian Embassies in Baku. Ahurastanian involvement is suspected.

February, 2020:

✓ A Chapter VI Peacekeeping Force (brigade size) completes deployment to Nagorno-Karabakh (United Nations Interim Administration Mission, Nagorno-Karabakh [UNINK]). Forces are from Italy, Russia, Germany, Ireland and Belgium.

✓ Clashes occur between Georgian Forces and the Abkhazian Militia.

✓ US Ambassador to Azerbaijan orders departure of dependents and non-essential embassy personnel in Baku. Other western embassies follow suit.

✓ EUCOM JPG to plan for future foreign humanitarian assistance (FHA) operations in theater. Initial humanitarian and civic assistance (HCA) units have been withdrawn.

✓ US national intelligence assets detect increased movement of SAPA units from Ahurastan into Azerbaijan.

✓ CDR EUCOM directs his staff to commence planning for possible defense of AZ against and AH attack.
February 2020

UN PKO CHAPTER VI FORCE DEPLOYS INTO NAGORNO-KARABAKH.
• UNITS/AGENCIES CONDUCTING HCA WITHDRAWN.
• EUCOM DIRECTS ANALYSIS OF FUTURE HUMANITARIAN AND CIVIC ASSISTANCE AND FOREIGN HUMANITARIAN ASSISTANCE IN AREA OF OPERATIONS.
• INCREASED SOUTH AZERI PEOPLES ARMY (SAPA) MOVEMENT ACROSS BORDER.
• CLASHES BETWEEN GA AND ABKHAZIAN FORCES. COMMANDER EUCOM INITIATES CRISIS ACTION PLANNING.
Operational Planning Application

Joint Intel Prep of the Operating Environment

“Enemy Forces”
• AH posturing forces for possible attack on AZ

• Insurgent activity in AZ supported by AH

• AH goal appears to be seizure of AZ key economic POL infrastructure and resources

• AZ forces unable to defend without assistance

• EUCOM directs COA development for military support of AZ

Operational Planning Application
Situation Overview
Red Team Analysis of AH/SAPA

RED TEAM ANALYSIS OF AH/SAPA
Red Team Analysis of AH/SAPA

Threat (AH/SAPA) Courses of Action

- Partial Mobilization COA (Most Likely)
  - Limited Objective Option (See Partial Mobilization Event Template and Matrix)

- Full Mobilization COA (Most Dangerous)
  - Deep Air Attack
  - Seize Baku
End State & Objectives

- **Strategic End State:** Ahurastan control of key areas (resources) with sufficient combat power to force favorable diplomatic solution resulting in negotiated partition of Azerbaijan.

- **Strategic Objectives:** Unite Azeri people under the Ahurastan government and gain access/control of Azerbaijani oilfields.
Red Team Analysis of AH/SAPA

Strategy

• Marginalize US influence in region using negative IO.
• Use SAPA to discredit and pressure Azerbaijan government.
• Use purported leverage over SAPA as bargaining item for negotiations to access resources.
• Swift military annexation of Kura Oil if negotiations fail.
Red Team Analysis of AH/SAPA

AH Strategic CoG: AH Government

CoGs:
- AH Government

CCs:
- Direct Economic Development
- Lead, Control AH Population
- Direct, Control AH Military
- Gain International Support

CRs:
- Internal Security Organizations
- Religious Ideology
- Economic System
- Strategic C2
- Media Control
- Bi-Lateral Agreements

CVs:
- Loyalty of Internal Security Leaders
- Secular Transparency
- Popular Economic Satisfaction
- Strat. C2 Systems
- Strat. C2 Linkages
- Government Transparency
- Time to Gain Foreign Support

Effectiveness of Security Forces

CS07
Red Team Analysis of AH/SAPA

AH Operational CoG: OSC-E

- **CoG:**
  - Disrupt Coalition Ground Forces
  - Seize Key Economic & Political Infrastructure
  - Protect from Air & Missile Attack

- **CCs:**
  - LOG Enablers
  - MECH/AR Forces
  - IFC
  - ISR
  - Air Defense Systems

- **CRs:**
  - Class III/V Resupply
  - C2 Systems & Links
  - Radars
  - ELENT
  - C2 & Early Warning

- **CVs:**
  - Ground LOCs
  - Bridging Assets
  - WMD Stocks
  - SPF
  - Radars
Red Team Analysis of AH/SAPA

SAPA CoG Analysis

CoG:

CCs:
Build External Alliances
Direct Mobilization
Build Internal Alliances (Talysh/Lezgin)
Conduct Military Operations

CRs:
Temporary Compatible Interests
Bilasuvar/Balad Clan Support
NK IDPs Support
Talysh Support
Lezgin Support
Military Structure

CVs:
Border Access
Grievances addressed/political power sharing
Grievances addressed/NK conflict favorable resolution
Grievances addressed/political autonomy or separate state
Grievances addressed/political autonomy or separate state
Village Guards
Support Cells

SAPP/SAPA Expand
Support/Capabilities

Continued AH support after GOAZ Overthrow
Belief in GOAZ weakness/overthrow
Grievances addressed/political power sharing
Grievances addressed/NK conflict favorable resolution
Grievances addressed/political autonomy or separate state
Grievances addressed/political autonomy or separate state
Red Team Analysis of AH/SAPA

Indications & Warnings

- **D:** Seeking justification for future action (increase in international rhetoric)
- **I:** Enemy propaganda aimed at Azeri population.
- **M:** Increased battlefield preparations.
  - Increase in intelligence collection activities
  - Increase in covert operation preparation
  - Increase in activity around Reserve Command installations
    (Full Mob COA only)
- **E:** Transfer and conversion of monies and information into international system. Ensure money and equipment flow will continue.
EUCOM Mission & Higher HQ (CJCS) Intent

Operational Planning Application
Operational Planning Application
“JTF Caspian”

Higher (CJCS) Mission & Key Tasks

Mission:
CDR US EUCOM establishes a JTF to conduct military operations in support of the GOAZ to protect and defend AZ Sovereignty.

Courses of Action (Key Tasks):
US assistance may include but not be limited to the following tasks:
- Conduct Show of Force
- Protect & Defend AZ Territory, Infrastructure & LOCs
- Conduct other Military Ops as Required
Joint Operation Planning Process

Mission Analysis

11 August 2011
Operational Planning Application

Joint Planning Group Organization
(C506 – C511)
Operational Planning Application

COCOM Commander’s Planning Guidance
COCOM’s Initial Guidance

✓ Initial Intent—as stated.

✓ As you know we have a deteriorating situation in the Caspian Region. The intentions of the new Ahurastan Government are still unclear, but the increased tension between them and Azerbaijan, coupled with the importance of the Caspian Region / BTC pipeline to the regional, US, and Western European economies, make it prudent for us to conduct some proactive planning.

✓ I am designating a JTF to address the issues. 18th Airborne Corps will form the nucleus of JTF-Caspian. EUCOM’s Service components will be supporting commands. I want a joint, collaborative planning effort led by 18th Airborne Corps as the JTF HQs to develop some tentative courses of action for operations to defend Azerbaijan and return stability to the region.

✓ Based on our December 2019 staff update to our Caucasus Regional Analysis, conduct a formal mission analysis followed by COA development.
Operational Planning Application
“JTF Caspian”

COCOM’s Initial Guidance

✓ **Strategic End State** –
  ✓ International access to Transcaucasus region energy resources.
  ✓ U.S. retains influence over nations and actors in the Transcaucasus region.
  ✓ Elected Azeri officials have the will and capacity to exert the rule of law.

✓ **Military End State** –
  ✓ Cross border incursions controlled.
  ✓ Oil refineries and key infrastructure secure.
  ✓ Regular and collaborative interface of U.S., Coalition and Azerbaijan military forces.
COCOM’s Initial Guidance

✓ Theater Strategic Objectives –
  ✓ Establish and employ a Joint Task Force with Coalition partners and Azerbaijan forces in the Joint Operations Area (JOA) to secure Azerbaijan’s international border.
  ✓ Establish cooperative relationships with Azerbaijan ministries and security forces.
  ✓ Establish sustainment organization in support of Azerbaijan military.
  ✓ De-conflict funding streams (Title 10 vs. Title 22) for Azerbaijan military.
Operational Planning Application

CJTF “Caspian Guard”
Initial Criteria
Operational Planning Application

“JTF Caspian”

COCOM’s Initial Criteria

1. Risk of Triggering Deployment of AH Forces
2. Risk of Coalition Casualties in Event of Attack
3. Time to Emplace FDOs
4. Time for Coalition to Seize Initiative
5. Amount of Destruction to AZ Military & Infrastructure
6. Amount of Destruction to B-T-C Pipeline
7. Risk of AH use of WMD & SCUDS
8. Risk that IDP Issues Affect Operations (IO, Sanctuary, Interference)
9. Risk of Diminishing International Support
10. Ability of SAPA to Disrupt Coalition Operations
11. Risk of Russian Intervention (in Ossetia/Abkhazia/Armenia)
12. Risk of Escalation of Hostilities in N-K
COCOM Commander’s Initial CCIR Operational Planning Application
COCOM’s Initial CCIR

- Will Ahurastan actually attack or fuel the South Azeri Peoples’ Army insurgency and destabilize the Azerbaijan government over a longer period of time?
- Will any regional countries assist Ahurastan?
- What is the host nation and nongovernmental organizations (NGO)/private voluntary organizations (PVO) capacity to handle internally displaced persons (IDP) in the potential combat zone?
- Are there any infrastructure limitations affecting force access?
- What action, if any, will Iran take in a US/Ahurastan conflict?
- What action, if any, will Russia take in a US/Ahurastan conflict?
- What action, if any, will Armenia take in a US/Ahurastan conflict?
- Will the security conditions in Georgia deteriorate/disrupt our line of communications?
Operational Planning Application

COCOM Commander’s Initial Force Recommendation
COCOM’s Force Recommendation - US

**US ARMY:**
- A Corps HQ.
- A Division with up to 5 x BCTs.
- A Fires Brigade
- A Combat Aviation Brigade.
- A deployable TSC HQs with 2-3 Sustainment Brigades.
- Civil Affairs Brigade.

**USMC:**
- An MPF MEB.

**USAF:**
- An AEF.
- An ASETF HQ.
- ASOG.

**USN:**
- A CSG.
- An ESG.
- A MPSRON.
- A SAG.
- An Amphibious Task Group (Capable to float a MEB).
- A PATRON.

**SOF:**
- SF Group (-).
- NSWTG.
- PSYOPS Battalion.
- JSOAC Assets.
Coalition Forces Available for Planning

**Turkey.**
- Mechanized Infantry Brigade.
- Fighter Squadron (in-country).

**UK**
- Mechanized Infantry Brigade.
- An Air Assault Brigade.
- Fighter/Bomber Squadron.
- SF Assets.
- Naval Assets in Black Sea.

**Azerbaijan**
- Mechanized Heavy Division.
- Some Separate Territorial Infantry Brigades.
- Some limited Aviation and Coastal Naval Crafts.
- Limited CS/CSS.
Prep for Mission Analysis
Operational Planning Application

EUCOM + 18<sup>TH</sup> AIRBORNE CORPS JPG

---

CJTF “Caspian Guard”
(Working Groups)
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Focus Areas for Mission Analysis

✓ Situation Overview – Key Events in Theater
✓ Facts
✓ COCOM Commander’s Initial Guidance
✓ Operational Tasks
✓ Limitations
✓ Assumptions
✓ Objectives (Strategic & Operational)
✓ Initial Effects
✓ CoG Analysis (Strategic & Operational)
✓ Commander’s Initial CCIR
✓ STRATCOM
✓ Initial Force Structure Analysis
✓ Risk Assessment
✓ Initial Mission Statement
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Tasks to the Joint Planning Group

Working Groups
Consolidate Staff Estimates:

✓ Facts:
  ✓ Situation/Friendly/Enemy/OE

✓ Assumptions

✓ Limitations:
  ✓ Constraints & Restraints
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Working Group #2
Maj Cat Sumruuld
MAJ Steve Sevigny

Review COCOM CDR’s Guidance:

✓ Operational Tasks
✓ Specified & Implied (from Estimates)
✓ Objectives (Strategic & Operational)
✓ Initial Effects
✓ Assist:
  ✓ WG#3 – FFIR
  ✓ WG#5 – Friendly CoG Analysis
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Working Group #3
MAJ Chris Nohle
MAJ Suzanna Hutin
MAJ Grenda Simasiku

✓ Expand CCIR - FFIR:
✓ Categorize & Link to DPs
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Working Group #4
MAJ Sean Kaubisch
Mr. Jeremy Sims

✓ Enemy CoG Analysis:
  ✓ Strategic
  ✓ Operational

✓ Expand CCIR – PIR:
✓ Categorize & Link to DPs
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Working Group #5
MAJ Marcus Perez
MAJ Theo Sager

✓ Friendly CoG Analysis:
  ✓ Strategic
  ✓ Operational

✓ Assist WG#4 in expanding CCIR – PIR:
  ✓ Categorize & Link to DPs
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Working Group #6
MAJ Busti Bustamante
MAJ Nick Netherly

✓ STRATCOM:
✓ Develop Initial Themes & Messages
✓ 1 x Theme/Message per Phase
✓ Initial C2 Command Diagram:
✓ All Coalition Forces & Key Actors
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

**Working Group #7**
MAJ Todd Stevenson
Maj Deej Wyrick

» Initial Risk Assessment (by Phase):

<table>
<thead>
<tr>
<th>Phase</th>
<th>Risk</th>
<th>Mitigation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Working Group #8
ALL

Mission Statement

JTF Caspian Guard
Operational Planning Application
“JTF Caspian Guard Mission Analysis”

Begin Mission Analysis